

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
24 April 2003 (24.04.2003)

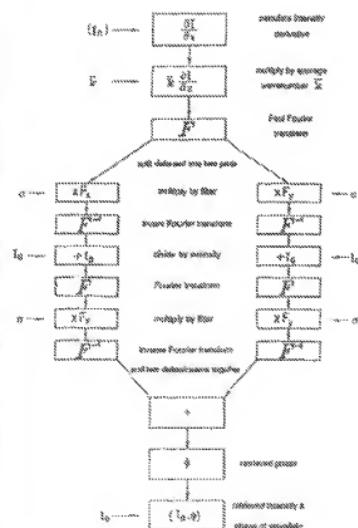
PCT

(10) International Publication Number
WO 03/034010 A1

- (51) International Patent Classification*: G01J 9/00
- (21) International Application Number: PCT/AU02/01398
- (22) International Filing Date: 15 October 2002 (15.10.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
PR 8308 16 October 2001 (16.10.2001) AU
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- (81) Designated States (national): AB, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PE, PL, PT, RO, RU, SD, SR, SO, SL, SK, SI, TI, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARPO patent (CH, GM, KE, LS, MW, MZ, SD, SI, TZ, UG, ZM, ZW),

{Continued on next page}

(54) Title: PHASE DETERMINATION OF A RADIATION WAVEFIELD



(57) Abstract: The phase of radiation wavefield is retrieved by solving the transport of intensity equation. The rate of change of intensity, orthogonal to a surface extending across the wavefield, is first determined (e.g., by measuring intensities at two separated planes). This rate of change is subjected to the computational process of taking an integral transform, multiplying by a filter corresponding to the inversion of a differential operator, and taking the inverse integral transform. The result is multiplied by a function of the intensity over the surface, and subjected to a similar computational process, to obtain a measure of the phase over the surface. The filters have a form based on details of the optical system used to acquire the intensity data, such as numerical apertures and spatial frequencies.

WO 03/034010 A1



Iranian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SB, SK,
TR), OAPI patent (BF, BJ, CR, CG, CI, CM, GA, GN, GQ,
GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guide
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

Published:

..... *with international search report*

PHASE DETERMINATION OF A RADIATION WAVEFIELDField of the Invention

This invention relates to the determination of phase of a radiation wavefield to enable phase images of objects to be produced. This invention is an improvement to the method for determining the phase of a radiation wavefield disclosed in International Patent Application No. PCT/AU99/00949. The contents of this International application are incorporated into this specification by this reference. As in the above International application, the term radiation wavefield is intended to include all forms of radiation that propagate in a wave-like manner, including but not limited to x-rays, visible light and electrons.

Background of the Invention

The method disclosed in the above International application enables phase data relating to the radiation wavefield to be determined from which a phase image can be constructed. The determination of the phase data relating to the radiation wavefield also enables other image modalities to be produced such as DIC, Zernike, Hoffman Contrast Images and Darkfield images. Phase images are an important tool to microscopists because they enable detail of some objects to be ascertained which are not available in conventional contrast images. In particular, fine edge detail in transparent structures such as cells and other biological samples may be more visible when phase images are taken rather than conventional contrast images. Furthermore, the phase data, as described in Australian Provisional Application No. PR5928, also enables the other modalities referred to above to be determined in software rather than by optics in a microscope.

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The method for determining the phase of a radiation wavefield according to the above International application

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- requires the so-called transport of intensity equation to be solved. This is achieved by producing a representative measure of the rate of change of intensity of the radiation wavefield over a selected surface extending
- 5 generally across the wavefield, producing a representative measure of intensity of the radiation wavefield over the selected surface, transforming the measure of rate of change to produce a first integral transform representation and applying to that representation a first
- 10 filter corresponding to the inversion of a first differential operator. The inverse of the first integral transform is then applied to the first modified integral transform representation and a correction based on the measure of intensity over the selected surface to the
- 15 untransformed representation is then applied. The correct untransformed representation is then transformed to produce a second integral transform representation and a second filter corresponding to the inversion of a second differential operator is applied to that representation.
- 20 An inverse of the second integral transform is then applied to the second modified integral transform representation to produce a measure of phase of the radiation wavefield across a selected plane.
- 25 The form of the first and second differential operators according to the teachings of the above International application has the form

$$\partial_x^{\alpha} V_1^{\beta}$$

30

in real space and incorporates a term with the form

$$\frac{k}{k^2 + \alpha^2}$$

35 in its Fourier representation.

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In the above equation, k is the spatial frequency and α is an arbitrary constant which is included so that when k approaches 0, the operator does not diverge because of the inclusion of the factor α^2 . The inversion of a first
5 differential operator which is frequency dependent will, if the factor α is not included, tend to highlight or exaggerate low frequencies relative to high frequencies thereby masking the high frequencies which will cause a degradation in sharpness of the image obtained from the
10 phase data over what may have otherwise been achieved. Since fine edge detail in transparent samples is likely to be high frequency in nature, the minimisation of the high frequencies therefore results in a loss of information in the image produced from the phase data and therefore lack
15 of clarity in the compiled image.

In order to prevent the exaggerating of the low frequencies relative to the high frequencies, the factor α in the above equation decreases the value of the above
20 operator when frequency is small so that the low frequency signals do not swamp or become over-exaggerated compared to the high frequency signals which are likely to contain most of the information of interest.

25 Summary of the Invention

The object of the present invention is to provide further improvements to the method and, in particular, to the form of the differential operator used in the method.

30 The invention may be said to reside in a method of quantitative determination of a phase of a radiation wavefield including the steps of:

- (a) producing a representative measure of the rate of change of intensity of said radiation wave field over a selected surface extending generally across the wave field;
- (b) producing a representative measure of

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intensity of said radiation wave field over said selected surface;

(c) transforming said measure of rate of change of intensity to produce a first integral transform

5 representation and applying to said first integral transform representation a first filter corresponding to the inversion of a first differential operator reflected in said measure of rate of change of intensity to produce a first modified integral transform representation;

10 (d) applying an inverse of said first integral transform to said first modified integral transform representation to produce an untransformed representation;

(e) applying a correction based on said measure of intensity over said selected surface to said 15 untransformed representation;

(f) transforming the corrected untransformed representation to produce a second integral transform representation and applying to said second integral transform representation a second filter corresponding to the inversion of a second differential operator reflected 20 in the corrected untransformed representation to produce a second modified integral transform representation;

(g) applying an inverse of said second integral transform to said second modified integral transform representation to produce a measure of phase of said 25 radiation wave field across said selected plane; and

(h) wherein at least one of the first or second differential operator has a form based on an optical system used to acquire the radiation for producing the 30 representative measure of the rate of change of intensity of the radiation wavefield over the selected surface extending generally across the wavefield.

Because the form of the differential operator is based on 35 the actual optical system, the form of the differential operator is improved and therefore provides better results because the operator is based on the optical system.

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It should be understood that the reference to optical system in this specification includes conventional glass or plastic lenses and like imaging elements, as well as magnetic fields or electric fields which are used to condition radiation in the form of electrons in, for example, electron microscopes.

Preferably both the first and second differential operators have a form based on the optical system.

Preferably the first and second integral transforms are produced using a Fourier transform.

In one embodiment of the invention the differential operators have the form:

$$\frac{\sqrt{T_p}}{T_p + \alpha^2}$$

where,

$$T_p(\rho) = 2\pi i \delta z \int \eta T_p^{(0)}(\rho, \eta) d\eta$$

and

$$25 \quad T_p^{(0)}(\rho, \eta) = \frac{i}{2\pi\rho} \left[\begin{aligned} & \left[\frac{1}{2} \rho_{obj}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} - \frac{1}{2} \rho_{obj}^2 (\xi^2 - 1) \right| \right]^{Y_1} \\ & - \left[\frac{1}{2} \rho_{obj}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} + \frac{1}{2} \rho_{obj}^2 (\xi^2 - 1) \right| \right]^{Y_2} \end{aligned} \right]$$

and wherein ρ is radial lateral spatial frequency, the longitudinal spatial frequency and δz is the defocus distance in the plane of the object. Also

$$30 \quad \xi = \frac{NA_{lateral}}{NA_{defocus}}$$

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where $NA_{condenser}$ and $NA_{objective}$ are respectively the numerical aperture of the condenser and the objective (These are settings and dimensions on the microscope). p_{obj} is the maximum spatial frequency accepted by the objective.

5

The invention may also be said to reside in a computer program for quantitative determination of the phase of a radiation wavefield including code to perform the method steps described above.

10

The invention may also be said to reside in an apparatus for phase amplitude imaging of an object including:

a radiation wavefield source to irradiate the object;

15

an imaging system to focus radiation from the object to an imaging surface extending across the wavefield propagating from the object;

means to produce a representative measure of radiation intensity over the imaging surface; and

20

processing means to:

(i) produce a representative measure of intensity and a representative measure of rate of change of intensity in the direction of radiation propagation over a selected surface extending across the wave field

25

from representative measures of radiation intensity over said image surface at said first focus and said second focus;

(ii) transform said measure of rate of change of intensity to produce a first integral transform representation;

30

(iii) apply to said first integral transform representation a first filter corresponding to the inversion of a first differential operator reflected in said measure of rate of change of intensity to produce a first modified integral transform representation;

(iv) apply an inverse of said first integral transform to said first modified integral transform

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representation to produce an untransformed representation;

(v) apply a correction based on said measure of intensity over said selected surface to said untransformed representation;

5 (vi) transform the corrected untransformed representation to produce a second integral transform representation;

(vii) apply to said second integral transform representation a second filter corresponding to the 10 inversion of a second differential operator reflected in the corrected untransformed representation to produce a second modified integral transform representation; and

(viii) apply an inverse of said second integral transform to said second modified integral transform

15 representation to produce a measure of phase of said radiation wave field across said selected plane; and

(ix) wherein at least one of the first or second differential operators has a form based on the imaging system to focus the radiation from the object to 20 the imaging surface.

Preferably the differential operator, in Fourier space, is

$$\frac{\sqrt{T_p}}{T_p + \alpha^2}$$

25 where,

$$T_p(\rho) = 2\pi i \delta \int \eta T_p^{(0)}(\rho, \eta) d\eta$$

30 and

$$T_p^{(0)}(\rho, \eta) = \frac{i}{2\pi\rho} \left\{ \begin{aligned} & \left[\frac{1}{2} \rho_{\phi\phi}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} - \frac{1}{2} \rho_{\phi\phi}^2 (\xi^2 - 1) \right| \right]^{\frac{1}{2}} \\ & - \left[\frac{1}{2} \rho_{\phi\phi}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} + \frac{1}{2} \rho_{\phi\phi}^2 (\xi^2 - 1) \right| \right]^{\frac{1}{2}} \end{aligned} \right\}$$

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Preferably both the first and second differential operators have the above form.

Brief Description of the Drawings

- 5 A preferred embodiment of the invention will be described, by way of example, with reference to the accompanying drawings in which:

Figure 1A is a schematic illustration of an arrangement for determination of phase where an object is
10 illuminated with plane wave radiation;

Figure 1B is an illustration similar to Figure 1A, but with the object illuminated with point source radiation;

15 Figure 2 is a flow chart showing an implementation of the method of phase determination in accordance with an embodiment of this invention;

Figure 3 is a schematic illustration of an arrangement for phase amplitude microscopy using the method of the preferred embodiment of the invention;

20 Figure 4 is a schematic drawing of an exemplary system for quantitative phase amplitude microscopy according to the preferred embodiment of the invention, and

25 Figure 5 is a flow chart according to the preferred embodiment.

Figures 1(a) and (b) show a schematic arrangement for phase determination in accordance with this invention where an object is illuminated by plane-wave radiation 2 or point source radiation 2 to produce transmitted beams
30 3.

At each point in space, an optical beam possesses two properties: intensity and phase. Intensity is a measure
35 of the amount of energy flowing through each point, while phase gives a measure of the direction of the energy flow.

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Intensity may be measured directly, for example by recording an image on film. Phase is typically measured using interference with a "reference beam". In contrast 5 the present method gives a non-interferometric method for measuring phase.

Intensity can be measured over two parallel planes A, B extending across the direction of propagation of the wave 10 field on the side remote from the incident radiation.

The present invention determines phase by providing a solution to the transport-of-intensity equation:

15 (1) $\nabla_{\perp} \cdot (\nabla_{\perp} \phi) = -k \frac{\partial I}{\partial z}$

where I is the intensity in the plane, the gradient operator in the plane is denoted ∇_{\perp} , k is the wave number of the radiation, and $\partial I / \partial z$ is the intensity derivative or 20 rate of change of intensity. Note that $\partial I / \partial z$ is estimated from the difference of the measurements in the planes A & B shown in Figure 1, while the intensity I is given by the average of the measurements.

25 In order to obtain a solution to equation 1 the function A is first defined as:

(2) $\nabla_{\perp} A = i \nabla_{\perp} \phi .$

Thus equation (1) becomes:

30 (3) $\nabla_{\perp} \cdot (\nabla_{\perp} A) = -k \partial_z I .$

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Making use of a standard identity $\nabla_{\perp} \cdot \nabla_{\perp} = \nabla_{\perp}^2$, this may be written:

$$5 \quad (4) \quad \nabla_{\perp}^2 A = -k \partial_z I$$

where ∇_{\perp}^2 denotes the two-dimensional Laplacian acting over the surface of the image. This equation has the following symbolic solution:

$$10 \quad (5) \quad A = -k \nabla_{\perp}^{-2} \partial_z I.$$

If the gradient operator ∇_{\perp} is applied to both sides of this equation, it becomes:

$$15 \quad (6) \quad \nabla_{\perp} A = -k \nabla_{\perp} \nabla_{\perp}^{-2} \partial_z I.$$

The defining equation (2) for the function A allows (6) to be transformed into:

$$20 \quad (7) \quad I \nabla_{\perp} \phi = -k \nabla_{\perp} \nabla_{\perp}^{-2} \partial_z I.$$

Dividing both sides by I then yields:

$$25 \quad (8) \quad \nabla_{\perp} \phi = -k I^{-1} \nabla_{\perp} \nabla_{\perp}^{-2} \partial_z I.$$

Taking the two dimensional divergence $\nabla_{\perp} \cdot$ of both sides of (8), and again making use of the standard identity $\nabla_{\perp} \cdot \nabla_{\perp} = \nabla_{\perp}^2$, then (8) becomes:

30

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$$(9) \quad \nabla_{\perp}^{-2} \phi = -k \nabla_{\perp} * [I^{-1} \nabla_{\perp} \nabla_{\perp}^{-2} \partial_z I].$$

This equation has the following symbolic solution:

$$5 \quad (10) \quad \phi = -k \nabla_{\perp} * [\nabla_{\perp} * [I^{-1} \nabla_{\perp} \nabla_{\perp}^{-2} \partial_z I]].$$

In order to implement a practical solution to equation (10), the following formulae are required. A suitably-well-behaved function $f(x, y)$ may be written in the form of

10 a two-dimensional Fourier integral:

$$(11) \quad f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \hat{f}(k_x, k_y) e^{i(k_x x + k_y y)} dk_x dk_y.$$

The function $\hat{f}(k_x, k_y)$ is called the "Fourier transform" of
15 $f(x, y)$.

The x derivative of (11) yields:

$$(12) \quad \frac{\partial}{\partial x} f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} [ik_x \hat{f}(k_x, k_y)] e^{i(k_x x + k_y y)} dk_x dk_y.$$

20

Hence the Fourier transform of $\frac{\partial}{\partial x} f(x, y)$ is equal to the Fourier transform of $f(x, y)$ multiplied by ik_x . Stated differently, $\frac{\partial}{\partial x} = iF^{-1}k_x F$, where F denotes Fourier transformation and F^{-1} denotes inverse Fourier transformation. Similar considerations apply to
25 $\frac{\partial}{\partial y} f(x, y)$.

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If the Laplacian $\nabla_{\perp}^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$ of (11) is obtained and

similar reasoning applied, it follows that $\nabla_{\perp}^2 = -F^{-1}k_r^{-2}F$, where $k_r^2 = k_x^2 + k_y^2$. Thus:

$$5 \quad (13) \quad \nabla_{\perp}^2 = -F^{-1}k_r^{-2}F, \quad \frac{\partial}{\partial x} = iF^{-1}k_x F, \quad \frac{\partial}{\partial y} = iF^{-1}k_y F.$$

Here, F denotes Fourier transformation, F^{-1} denotes inverse Fourier transformation, (k_x, k_y) are the Fourier variables conjugate to (x, y) , and

10

$$k_r^2 = k_x^2 + k_y^2.$$

Equations (13) can be used to rewrite equation (10) in the form

15

(14)

$$\phi = \phi^{(x)} + \phi^{(y)}, \begin{cases} \phi^{(x)} = F^{-1}k_r^{-2}k_x F I^{-1}F^{-1}k_x k_r^{-2}F \left[k \frac{\partial I}{\partial z} \right] \\ \phi^{(y)} = F^{-1}k_r^{-2}k_y F I^{-1}F^{-1}k_y k_r^{-2}F \left[k \frac{\partial I}{\partial z} \right] \end{cases}$$

In practice division by intensity is only performed if
20 that intensity is greater than a certain threshold value
(e.g. 0.1% of the maximum value).

Division by k_r does not take place at the point $k_r = 0$ of Fourier space;

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instead multiplication by zero takes place at this point. This amounts to taking the Cauchy principal value of the integral operator ∇_1^{-2} .

- 5 In order to quantitatively measure the phase of object it
is necessary to incorporate some physical constants into
the phase recovery algorithm given in Equation (14)
relating to the experimental setup in use to quantify the
variables k_x , k_y . This can be done by rewriting equation
10 (14) in the following form suitable for implementation
using a fast Fourier transform:

$$\phi = \phi_s + \phi_r, \begin{cases} \phi_s = -\frac{2\pi}{\lambda\Delta x (N\Delta x)^2} \mathcal{F}^* \frac{i}{i^2 + j^2} \mathcal{F} \frac{1}{I(x,y)} \mathcal{F}^* \frac{i}{i^2 + j^2} \mathcal{F}[I_s - I_r] \\ \phi_r = -\frac{2\pi}{\lambda\Delta x (N\Delta x)^2} \mathcal{F}^* \frac{j}{i^2 + j^2} \mathcal{F} \frac{1}{I(x,y)} \mathcal{F}^* \frac{j}{i^2 + j^2} \mathcal{F}[I_s - I_r] \end{cases}$$

- 15 where $i, j \in \left[\frac{-N}{2}, \frac{N}{2} \right]$ index the frequent components of $\mathcal{F}[I_s - I_r]$
where the intensity derivative $\partial_z I(x,y)$ is obtained by
subtracting two images I_s and I_r separated by a distance
 Δz , i and j are the pixel numbers on the image, and using
the fact that the Fourier space step size is given by

20

$$\Delta k = \frac{1}{N\Delta x}$$

- where the image is an $N \times N$ array of pixels of size Δx .
Thus in addition to measuring the three intensity
25 distributions it is necessary to know the pixel size Δx ,
defocus distance Δz and wavelength λ in order to make a
quantitative phase measurement. All of these quantities

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can be readily determined: the pixel size can be
determined directly for example from the CCD detector
geometry (in the case of direct imaging), or by existing
techniques for calibrating the transverse distance scales
5 (in the case of an imaging system), the defocus distance
can be measured directly, and the spectral distribution of
the illumination can be determined either by
monochromating the incident field or by analysing the
spectral distribution of the radiation using existing
10 spectroscopic methods.

An example of the phase-retrieval method implementing the
solution of equation (14) can be represented by the
flowchart shown in Figure 2. As shown in Figure 2 the
15 quantitative determination of phase of a radiation wave
field proceeds from a set of intensity measurements $\{I_n\}$
over the two spaced apart planes A and B. A measurement
of central intensity $I(x,y)$ in a selected plane parallel
to and midway between the planes A and B is also obtained.
20 The intensity measurements are performed over a defined
array on each of the two planes A and B and the respective
values subtracted to produce a measure of the intensity
derivative. This value is multiplied by the negative of
the average wave number. The data are split into two
25 component sets and a fast Fourier transform is performed
to produce the respective x and y components in the
Fourier domain. A filter is then applied to the Fourier
domain representations to correspond to the inversion of a
differential operator reflected in the untransformed
30 representation. An inverse Fourier transform is then
performed on each of the x and y components to produce a
spatial domain value from which the differential operator
has been removed. A division by the central intensity

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I(x,y) obtained by averaging the intensity measurements over planes A and B is then performed if the intensity level is above a selected threshold level. The resultant data is again Fourier transformed and multiplied by the 5 same filter to again correspond to the inversion of a differential operator reflected in the untransformed data. The resultant components are again inverse Fourier transformed and summed to provide a retrieved phase measurement.

10

It will be apparent that in general the method according to this invention can proceed from any suitable representative determination of intensity derivative or rate of change of intensity over a selected surface 15 extending across the propagation direction and the intensity over that same surface. As will be explained in various examples these data can be obtained in a variety of ways and the method implemented to yield phase of the radiation wave field.

20

Rewriting equation (14) with:

$$\Omega_x(k_x, k_y, \alpha) = k_x k_y^{-2}$$

25

$$\Omega_y(k_x, k_y, \alpha) = k_y k_x^{-2}$$

$$\phi(x, y) = \phi^{(x)}(x, y) + \phi^{(y)}(x, y),$$

gives

30

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$$(15) \quad \left\{ \begin{array}{l} \phi^{(x)}(x, y) = F^{-1}\Omega_x(k_x, k_y, \alpha)F \frac{1}{I(x, y)}F^{-1}\Omega_z(k_x, k_y, \alpha)F \left[\bar{k} \frac{\partial I}{\partial z} \right] \\ \phi^{(y)}(x, y) = F^{-1}\Omega_y(k_x, k_y, \alpha)F \frac{1}{I(x, y)}F^{-1}\Omega_z(k_x, k_y, \alpha)F \left[\bar{k} \frac{\partial I}{\partial z} \right] \end{array} \right.$$

where:

5 $\phi(x, y)$ denotes the recovered phase,F denotes Fourier transformation, and F^{-1} denotes inverse Fourier transformation,10 $I(x, y)$ is the intensity distribution over the plane of interest,

10 (x, y) are Cartesian coordinates over the plane of interest,

10 (k_x, k_y) are the Fourier variables conjugate to (x, y)15 $\bar{k} = 2\pi/\bar{\lambda}$ is the average wavenumber of the radiation,15 $\partial I / \partial z$ is the estimate for the longitudinal intensity derivative,15 α is the regularization parameter used to stabilize the algorithm when noise is present.

20 As given above, the solution to the transport of intensity equation (1) assumes a perfect imaging system. That is, there are no "aberrations" present in the optical system used to obtain the intensity data which is fed into the 25 algorithm. Of course, no imaging system is perfect. The imperfections present in an imaging system may be quantified by a set of numbers:

$$(16) \quad A_1, A_2, A_3, \dots$$

which are termed aberration coefficients.

5

If intensity data were taken on an imperfect instrument whose imperfections were characterized by a certain set of known aberration coefficients A_1, A_2, A_3, \dots , it would be desirable if the filters $\Omega_x(k_x k_y, \alpha)$ and $\Omega_y(k_x k_y, \alpha)$ present in 10 (15) could be replaced by modified filters which explicitly depend upon the aberration coefficients:

$$(17) \quad \tilde{\Omega}_x(k_x k_y, \alpha, A_1, A_2, A_3, \dots) \text{ and } \tilde{\Omega}_y(k_x k_y, \alpha, A_1, A_2, A_3, \dots)$$

- 15 This would allow the imperfections of the imaging system to be explicitly taken into account, leading to quantitatively correct phase retrieval using aberrated imaging systems. For the special case of a non-absorbing phase object in a radiation wave field of uniform 20 intensity with weak (i.e. much less than 2π radians) phase variations the appropriate modified filters lead to the following functional form for the phase-retrieval algorithm:

$$25 \quad (18) \quad \phi(x, y) = F^{-1} \left\{ \frac{F \{ I_{aberrated}(x, y) - 1 \}}{[-2\pi \& \lambda (k_x^2 + k_y^2) - 2 \sum_m \sum_n A_{mn} k_x^m k_y^n]} \right\},$$

where:

$I_{aberrated}(x, y)$ is the aberrated intensity measured at defocus distance $\&$,

- 30 A_{mn} are the aberration coefficients which characterize the imperfect imaging system.

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If a filter is defined:

$$(19) \quad \tilde{\Omega}(k_x k_y, \alpha, A_1, A_2, A_3, \dots) =$$

$$\frac{1}{\sqrt{-2\pi i k_x \tilde{\lambda} (k_x^2 + k_y^2) - 2 \sum_m \sum_n A_{mn} k_x^n k_y^m}}$$

Then (18) becomes:

$$(20) \quad \phi(x, y) = F^* \tilde{\Omega} F \left| \frac{1}{I_0} F^* \tilde{\Omega} F \{ I_{\text{aberrant}}(x, y) - 1 \} \right|$$

- 10 The term $\{ I_{\text{aberrant}}(x, y) - 1 \}$ is a measure of rate of change of intensity. I_0 intensity is a measurable constant for uniform intensity so that (20) is the same general form as (15). Consequently the special case of aberration can be dealt with by changing the filter in the general method 15 described above. The x and y component filters Ω_x and Ω_y , are given by

$$(21) \quad \Omega_x = \Omega_y = \frac{1}{\sqrt{2}} \tilde{\Omega}$$

- 20 Figure 3 schematically shows an arrangement for quantitative phase amplitude microscopy. A sample is illuminated using a source of white light Köhler illumination 15, commonly found on optical microscopes. The light is transmitted through an object 16 and 25 collected by a microscope imaging system 17 and relayed to a CCD camera 18 or other digital imaging device having a planar imaging surface. Three images are collected: an in-focus image, I_0 , and two slightly out of focus images I_+ and I_- . The defocus is obtained by suitable means such as a

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drive system 19 to adjust the microscope focus knob. The defocus introduced is usually quite small so that degradation in spatial resolution is minimized, although the optimal amount of defocus to use is determined by 5 sample properties and imaging geometry such as magnification, numerical apertures, etc.

When taking the images the numerical aperture of the condenser is chosen to be less than the numerical aperture 10 of the objective being used. If this is not the case then serious image degradation will occur, although the precise amount by which the condenser and objective numerical apertures should differ involves a tradeoff between image fidelity and spatial resolution, with the optimal 15 difference depending on the sample properties and the optics used.

Intensity data from the collected images I_+ and I_- are subtracted to produce a representative measure of rate of 20 change of intensity (intensity derivative). From this and the intensity data of collected image I_0 the method described above can be used to produce quantitative information about the magnitude of the phase shift in the image planes.

25 There may be cases in which it is desirable to take more than two images in order to obtain a better estimate of the intensity derivative dI/dz . A function can then be fitted to this data from which dI/dz can be computed and 30 used in the phase determination method in place of the simple subtraction of two images normally used.

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It is also possible to operate this system in a reflection geometry to obtain surface topography. The principle of operation is the same, however the optics have to be folded back on themselves to form a reflection geometry -
5 otherwise the process is identical.

For certain applications it can also be desirable to filter the light to a particular wavelength, although this is not necessary for the described imaging process as it
10 works equally well with white light.

An implementation is shown in Figure 4. An Olympus BX-60 optical microscope 20 was equipped with a set of UMPPlan metallurgical objectives and a universal condenser to
15 provide Köhler illumination. In order to be able to compare the results with existing imaging modes Nomarski DIC optics and a set of cover-slip corrected UplanApo objectives were also acquired for this microscope, enabling images to be taken of the same field of view
20 using both phase retrieval and Nomarski DIC for the purposes of qualitative comparison. A 12-bit scientific grade Photometrics SenSys CCD camera 21 equipped with a 1300x1035 pixel Kodak KAF-1400 CCD chip was added to the
0.5x video port on the microscope to acquire digital
25 images of the sample.

The phase recovery technique of this embodiment of the invention requires the acquisition of defocused images. A stepper motor drive system 22 was attached to the focus
30 knob of the microscope. This stepper motor 22 was attached to the parallel port of a 133MHz Pentium PC 23 also used to control the CCD camera 21, enabling full automation of the acquisition of through-focus image

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sequences. This data acquisition system was linked to custom software written to recover phase images from the CCD images, thereby enabling full automation of the image acquisition and data processing sequences.

5

The form of the differential operators used in the preferred embodiment of this invention are based on the optics of the system used to obtain the above-mentioned images. Thus, the operator takes into account the details 10 of the optical system used to take the images. This is achieved by:

Determine the numerical aperture of the condenser, $NA_{condenser}$

- 15 Determine NA of objective, $NA_{objective}$, and $\rho_{objective}$, the radius of the objective aperture

$$\xi = \frac{NA_{condenser}}{NA_{objective}}$$

- 20 (These are settings and dimensions on the microscope.)

Determine radial lateral spatial frequency, ρ , and longitudinal spatial frequency, η .

- 25 (These are dependent on the pixelation and position distribution of images taken in the series.)

Determine the wavelength, λ , of the radiation to be used.

- 30 The new form of the operator is

$$\frac{\sqrt{T_p}}{T_p + \alpha^2}$$

where,

35

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$$T_p(\rho) = 2\pi i \delta \int \eta T_p^{(3)}(\rho, \eta) d\eta$$

and

$$5 \quad T_p^{(3)}(\rho, \eta) = \frac{i}{2\pi\rho} \left\{ \begin{aligned} & \left[\frac{1}{2} \rho_{\text{obj}}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} - \frac{1}{2} \rho_{\text{obj}}^2 (\xi^2 - 1) \right| \right]^{\frac{1}{2}} \\ & \left[\frac{1}{2} \rho_{\text{obj}}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} + \frac{1}{2} \rho_{\text{obj}}^2 (\xi^2 - 1) \right| \right]^{\frac{1}{2}} \end{aligned} \right\}$$

Figure 5 is a flow chart generally illustrating how T_p is determined by means of the above equation merely showing breakdown of the various components of the equation.

10 Since modifications within the spirit and scope of the invention may readily be effected by persons skilled within the art, it is to be understood that this invention
 15 is not limited to the particular embodiment described by way of example hereinabove.

Claims

- i. A method of quantitative determination of a phase of a radiation wavefield including the steps of:
 - 5 (a) producing a representative measure of the rate of change of intensity of said radiation wave field over a selected surface extending generally across the wave field;
 - (b) producing a representative measure of 10 intensity of said radiation wave field over said selected surface;
 - (c) transforming said measure of rate of change of intensity to produce a first integral transform representation and applying to said first integral 15 transform representation a first filter corresponding to the inversion of a first differential operator reflected in said measure of rate of change of intensity to produce a first modified integral transform representation;
 - (d) applying an inverse of said first integral 20 transform to said first modified integral transform representation to produce an untransformed representation;
 - (e) applying a correction based on said measure of intensity over said selected surface to said untransformed representation;
 - 25 (f) transforming the corrected untransformed representation to produce a second integral transform representation and applying to said second integral transform representation a second filter corresponding to the inversion of a second differential operator reflected in the corrected untransformed representation to produce a second modified integral transform representation;
 - (g) applying an inverse of said second integral transform to said second modified integral transform representation to produce a measure of phase of said 30 radiation wave field across said selected plane; and
 - (h) wherein at least one of the first or second differential operator has a form based on an optical

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system used to acquire the radiation for producing the representative measure of the rate of change of intensity of the radiation wavefield over the selected surface extending generally across the wavefield.

5

2. The method of claim 1 wherein both the first and second differential operators have a form based on the optical system.

10

3. The method of claim 2 wherein the first and second integral transforms are produced using a Fourier transform.

15

4. The method of claim 2 wherein the differential operators have the form:

$$\frac{\sqrt{T_p}}{T_p + \alpha^2}$$

where,

20

$$T_p(\rho) = 2\pi i \partial_\zeta \int \eta T_p^{(0)}(\rho, \eta) d\eta$$

and

25

$$T_p^{(0)}(\rho, \eta) = \frac{i}{2\pi\rho} \left[\begin{aligned} & \left[\frac{1}{2} \rho_{obj}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} - \frac{1}{2} \rho_{obj}^2 (\xi^2 - 1) \right|^2 \right]^{\frac{1}{2}} \\ & \left[\frac{1}{2} \rho_{obj}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} + \frac{1}{2} \rho_{obj}^2 (\xi^2 - 1) \right|^2 \right]^{\frac{1}{2}} \end{aligned} \right]$$

and wherein ρ is radial lateral spatial frequency, the longitudinal spatial frequency and i is the defocus distance in the plane of the object, and

30

$$\xi = \frac{NA_{\text{camera}}}{NA_{\text{objective}}}$$

- 25 -

where $NA_{\text{condenser}}$ and $NA_{\text{objective}}$ are respectively the numerical aperture of the condenser and the objective, and ρ_{obj} is the maximum spatial frequency accepted by the objective.

5 5. A computer program for quantitative determination of the phase of a radiation wavefield including code to perform the method steps according to claim 1.

10 6. An apparatus for phase amplitude imaging of an object including:

a radiation wavefield source to irradiate the object;

an imaging system to focus radiation from the object to an imaging surface extending across the wavefield propagating from the object;

means to produce a representative measure of radiation intensity over the imaging surface; and processing means to:

20 (i) produce a representative measure of intensity and a representative measure of rate of change of intensity in the direction of radiation propagation over a selected surface extending across the wave field from representative measures of radiation intensity over said image surface at said first focus and said second focus;

(ii) transform said measure of rate of change of intensity to produce a first integral transform representation;

30 (iii) apply to said first integral transform representation a first filter corresponding to the inversion of a first differential operator reflected in said measure of rate of change of intensity to produce a first modified integral transform representation;

(iv) apply an inverse of said first integral transform to said first modified integral transform representation to produce an untransformed representation;

(v) apply a correction based on said measure

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of intensity over said selected surface to said untransformed representation;

5 (vi) transform the corrected untransformed representation to produce a second integral transform representation;

(vii) apply to said second integral transform representation a second filter corresponding to the inversion of a second differential operator reflected in the corrected untransformed representation to produce a 10 second modified integral transform representation; and

(viii) apply an inverse of said second integral transform to said second modified integral transform representation to produce a measure of phase of said radiation wave field across said selected plane; and

15 (ix) wherein at least one of the first or second differential operators has a form based on the imaging system to focus the radiation from the object to the imaging surface.

20 7. The apparatus of claim 6 wherein the differential operator, in Fourier space, is

$$\frac{\sqrt{I_p}}{T_p + \alpha^2}$$

25 where,

$$T_p(\rho) = 2\pi i \delta \zeta \int \eta T_p^{(0)}(\rho, \eta) d\eta$$

and

$$30 T_p^{(0)}(\rho, \eta) = \frac{i}{2\pi\rho} \left\{ \begin{aligned} & \left[\frac{1}{2} \rho_{obj}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} - \frac{1}{2} \rho_{obj}^2 (\xi^2 - 1) \right| \right]^{K_2} \\ & - \left[\frac{1}{2} \rho_{obj}^2 (\xi^2 + 1) - \frac{1}{4} \rho^2 - \left(\frac{\eta}{\lambda\rho} \right)^2 - \left| \frac{\eta}{\lambda} + \frac{1}{2} \rho_{obj}^2 (\xi^2 - 1) \right| \right]^{K_1} \end{aligned} \right\}$$

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and wherein ρ is radial lateral spatial frequency, the η longitudinal spatial frequency and δz is the defocus distance in the plane of the object, and

$$5 \quad \xi = \frac{NA_{\text{condenser}}}{NA_{\text{objective}}}$$

where $NA_{\text{condenser}}$ and $NA_{\text{objective}}$ are respectively the numerical aperture of the condenser and the objective, and ρ_{obj} is the maximum spatial frequency accepted by the objective.

10

8. The apparatus of claim 7 wherein both the first and second differential operators have the above form.

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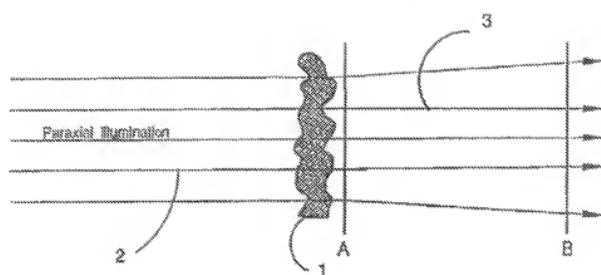


FIGURE 1a

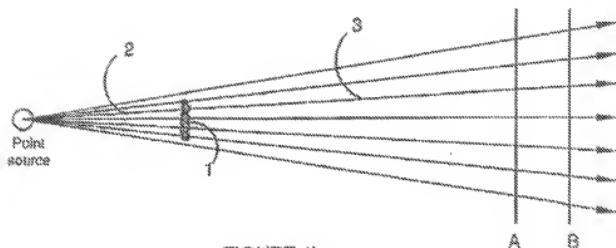
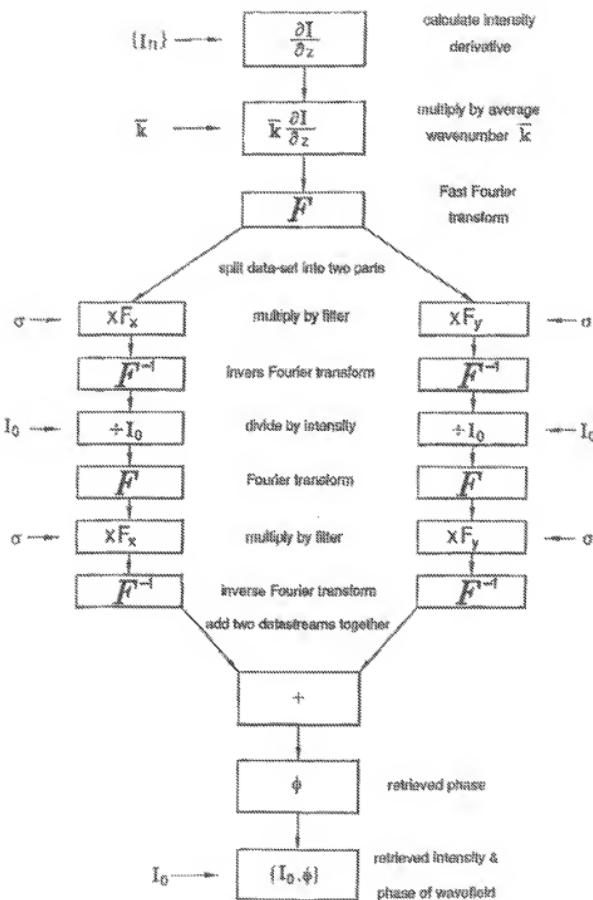


FIGURE 1b

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**FIGURE 2**

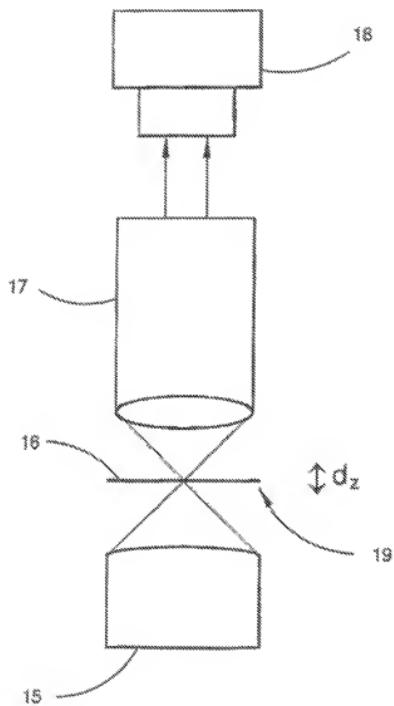


FIGURE 3

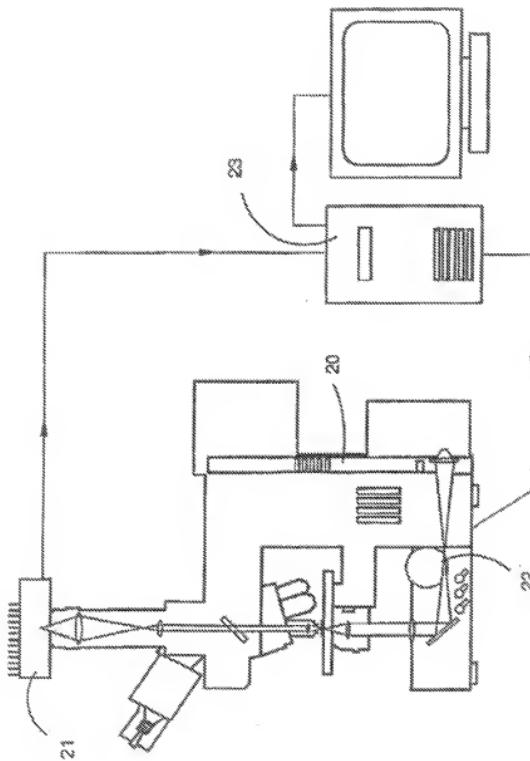
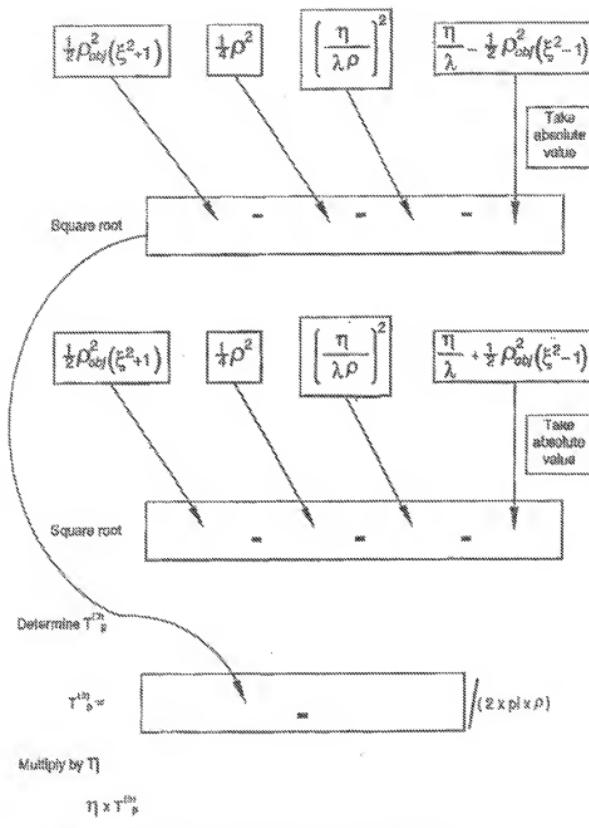


FIGURE 4

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Then integrate with respect to T_1 and multiply the result by $-2 \times \pi \times \delta z$

$$T_p = -2\pi dz \int T_1 T_p^{(10)} d\eta$$

FIGURE 5

INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU02/01398

A. CLASSIFICATION OF SUBJECT MATTER		
Int. Cl. ² G01J 9/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) DWPI, JAPIO Keywords: phase; intensit, irradia; wavefront, wavefield; recover, retriev, profil, reconstruct, image, transport, derivative, differential, change, rate; G01J 9/00; transform, operator, comput, calculat, solv, solution; fourier, fil		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00/26622 A (THE UNIVERSITY OF MELBOURNE) 11 May 2000 Pages 23-24, Figure 2	1-3, 5-6
A	US 5633714 A (NYYSSONEN) 27 May 1997 Whole document	1-8
<input type="checkbox"/> Further documents are listed in the continuation of Box C		<input checked="" type="checkbox"/> See patent family annex
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"B" earlier application or patent but published on or after the international filing date</p> <p>"C" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"D" document referring to an oral disclosure, use, exhibition or other means</p> <p>"E" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"Z" document member of the same patent family</p>		
Date of the actual completion of the international search 29 November 2002		Date of mailing of the international search report 06 DEC 2002
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustralia.gov.au Facsimile No: (02) 6283 3929		Authorized officer MICHAEL HALL Telephone No: (02) 6283 2474

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU/02/01398

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
WO	200026622	AU	200015005	BR	9914976
US	5633714		NONE		EP 1127252
END OF ANNEX					